

**Agency Priority Goal Action Plan** 

# Stand up a new public-private partnership pilot program at DOE for national laboratory innovation

### **Goal Leader:**

Conner Prochaska, Director, Office of Technology Transitions

Theme(s): General Science, Space and Technology



# Overview

#### Goal Statement

Stand up a new public-private partnership pilot program at DOE for national laboratory innovation. DOE will:

- By September 30, 2018, develop an inventory of the Department's existing commercialization programs and relevant statutory authorities, and document best practices, common challenges and resource requirements; and
- By September 30, 2019, design a pilot commercialization program template in conjunction with the national laboratories

## Challenge

The DOE National Labs generate extensive technologies that, with the right incentives can generate significant positive economic impact for the U.S. through partnerships with industry, small business and entrepreneurs

## Opportunity

Identify and facilitate expanded and more streamlined commercialization pathways for enterprising private individuals and entities to access laboratory assets for basic and applied research and to deploy laboratory technologies in the marketplace.

# Leadership

Core Team:

#### **Oversight of Design and Project Management**

**DOE Office of Technology Transitions** 

National Laboratories and Facilities

## **National Lab Stewards**

Office of Science
Office of Energy Efficiency
and Renewable Energy
Office of Fossil Energy
Office of Nuclear Energy
National Nuclear Security
Administration

#### Other Programs, Agencies and Offices

Office of Electricity
Cybersecurity, Energy Security, and Emergency
Response (CESER)
General Counsel
Office of Management
Office of Chief Financial Officer
Advanced Research Projects Agency-Energy (ARPA-E)

# **Goal Structure & Strategies**

## **Inventory**

For DOE commercialization programs and laboratory technology development assets, OTT is developing inventories of previous, existing and proposed resources and will document best practices.

# **Tool for Analysis**

From these inventories, attributes will be reviewed for likely correlation with acceleration of technologies from the lab to market. These attributes, in association with their activities, will be extracted and compiled into a meaningful, directory that will inform internal commercialization program design.

## Design of Pilot

This information will inform analysis for development of a design for a new publicprivate partnership pilot program to accelerate movement of National Laboratory innovation to market.

The design for a proposed public-private partnership pilot was completed by September 30, 2019.

# Summary of Progress – Final

# Inventory Development and Analysis, Pilot Program Design

The Office of Technology Transitions (OTT) conducted a survey to develop an inventory of commercialization programs, initiatives, and activities across the DOE complex.

OTT completed an analysis of existing programs and identified several gap areas: 1) access for small business and startups to National Laboratory assets; 2) utilization of National Laboratory intellectual property (IP) in the private sector; 3) utilization of the Laboratory Partnering Service; 4) technology-area-independent prizes and challenges; 5) technology-area-independent technology maturation funding; 6) technology maturation of National Laboratory IP; and 7) DOE-wide entrepreneurial workforce and culture development.

Based on these learnings, OTT designed public-private partnership pilot programs to address identified gap areas.

# Statement of Goal Achievement and Next Steps

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   and
- By September 30, 2019, design a pilot commercialization program template in conjunction with the national laboratories **Met**

APG Status: Achieved

## Next Steps:

o Does DOE have an FY 2020-2021 APG in this topic area? Yes

# **Key Milestones**

OTT continues to provide consultation to and is coordinating with the Department of Commerce/NIST on its Return on Investment Initiative designed to streamline and accelerate the transfer of technology from Federal Laboratories and federally-funded R&D at universities, with particular emphasis by OTT on reviewing the draft Green Paper and the intended actions to modernize technology transfer laws and regulations as they pertain to DOE practices and challenges.

Milestone Summary		
Key Milestone	Milestone Due Date	Milestone Status
Inventory of relevant statutes	Q3, FY18	Complete
Annotation of relevant statutes	Q4, FY18	Complete
Inventory of resources	Q4, FY18	Complete
Inventory of best practices	Q4, FY18	Complete
Lab Partnering Service launch	Q4, FY18	Complete
Technology Commercialization Fund Evaluation	Q1, FY19	Complete
Analysis of attributes of resources for pilot design	Q2, FY19	Complete
Deliver design of pilot	Q4, FY19	Complete

# Additional Information

## **Contributing Programs**

#### Organizations:

- Program Offices: Technology Transitions (TT), Electricity, Science, Energy Efficiency and Renewable Energy, Fossil Energy,
   Nuclear Energy
- Support Offices: Chief Financial Officer, General Counsel, Management
- National Nuclear Security Administration, Advanced Research Projects Agency-Energy

#### **Program Activities:**

- TT co-chairs the Office of Science and Technology Policy/National Science and Technology Council (NSTC)/Lab-to-Market (L2M) subcommittee
- TT obtains input from and coordinates with other federal departments and agencies on their commercialization and technology transfer activities through L2M and other inter-agency activities

Regulations: N/A

Tax Expenditures: N/A

Policies: N/A

Other Federal Activities: National Institute of Standards and Technology Return on Investment Initiative

NSTC L2M Cross Agency Priority Goal

#### Stakeholder / Congressional Consultations

- National Labs
- OSTP, NSTC, L2M